



John Stewart AND ASSOCIATES

John Stewart & Associates Inc Privacy Policy

In the United States of America there are regulations which impose various requirements on a financial institutions' treatment of customer information. These regulations require financial institutions develop privacy policies and disclose these policies to its customers.

John Stewart & Associates Inc ("JSA") considers your privacy one of our utmost concerns. This Privacy Notice outlines our current policies and practices regarding information about individual customers is collected and used. We will send existing customers an updated Privacy Notice on an annual basis. In order to provide you with individualized service, JSA collects information about you from your account application and other forms that you may deliver to us. JSA also collects information about your transactions with us and our affiliates. We may use this information to open an account for you, process your requests and transactions and provide you with additional information about our products and services. In order to service your account and mail correspondence to you, we provide your personal information to other affiliated independent firms that specialize in providing these services. These firms include our clearing firm(s), consulting firms, and also our printing/ mailing vendors. We require these other independent firms to protect the confidentiality of your information and to use the information only for the limited purpose for which the disclosure is made. We do not disclose any nonpublic personal information about our customers to other independent firms, organizations, or individuals except in furtherance of our business relationship with you, or as otherwise permitted or required by law. In addition, if you decide at some point to close your JSA account, we will continue to adhere to the privacy policies and practices described in this notice.

We restrict access to nonpublic personal information about you to those personnel who need to know that information to provide products or services to you. We maintain physical, electronic and procedural safeguards that comply with US federal standards to guard your personal information.

If you prefer that we limit disclosures of personal information about you, you may notify us via email at info@jpsi.com or by calling (314) 626-4028, Monday through Friday. If you are determined to opt out of this policy, you can instruct us to what extent we are able to disclose your non-public personal information to affiliated third parties. If you have any questions or concerns regarding the privacy of your information at JSA or would like to discuss opt out options, please contact us at your convenience using the information provided above.

SMS Terms of Services: Terms of Service for SMS Communications and Consent for SMS Communication

By providing my phone number to the John Stewart & Associates Inc you agree and acknowledge that John Stewart & Associates Inc may send receive customer care, account notifications, and marketing text messages to your wireless phone number for updates related to financial products and services, existing account updates, margin calls, marketing messages, and account notifications. No mobile opt-in or text message consent will be shared with third parties or affiliates for marketing purposes. Information obtained as part of the SMS consent process will not be shared with third parties or affiliates for marketing purposes.

Thank you for your business.

John Stewart & Associates Inc